UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/678,573	10/03/2000	Adrian Pell	10990443-3	5628
	7590 10/29/200 CKARD COMPANY	EXAMINER		
P O BOX 272400, 3404 E. HARMONY ROAD INTELLECTUAL PROPERTY ADMINISTRATION FORT COLLINS, CO 80527-2400			LIPMAN, JACOB	
			ART UNIT	PAPER NUMBER
			2134	
			1	
			MAIL DATE	DELIVERY MODE
			10/29/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	4
$\sim$	7
7.11/1	1
UIII	
7/	

	Application No.	Applicant(s)			
	09/678,573	PELL, ADRIAN			
Office Action Summary	Examiner	Art Unit			
	Jacob Lipman	2134			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on 15 Ju	<u>ıly 2007</u> .				
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.				
	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.			
Disposition of Claims					
4) Claim(s) 1-20 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) □ Claim(s) 1-20 is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomposite and a composite a	epted or b) objected to by the I drawing(s) be held in abeyance. See tion is required if the drawing(s) is obj	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate			
Patent and Trademark Office					

Art Unit: 2134

## **DETAILED ACTION**

1. In view of the arguments filed on 15 July 2007, PROSECUTION IS HEREBY REOPENED. New grounds of rejection are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

- (1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,
- (2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

GILBERTO BARRON JA SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2100

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Art Unit: 2134

3. Claims 1-2 are rejected under 35 U.S.C. 102(e) as being anticipated by Kannan USPN 2001/0054064 A1.

With regard to claims 1 and 11, Kannan discloses a system for securely exchanging information between systems ([0019]) including a requesting system coupled to the Internet from which a user requests assistance from a support representative ([0020]) wherein the user utilizes a Web browser client to request the assistance (520, [0051]), a support representative system coupled to the Internet from which a support representative responds to the requesting user to provide assistance ([0024]) and a collaboration server system coupled to the Internet and accessible to both the requesting system and the support representative system (510, figure 5) for receiving a request from the user for assistance and for processing the request for assistance ([0051]) wherein the collaboration server system includes a rendezvous service (610) responsive to receipt of the request for assistance from the user to initiate communications between the user and the support representative over the Internet between the requesting system and the support representative system ([0082]) in presence of firewall protection in the requesting system and in the support representative system (figure 17), and an interaction service for managing continued interaction between the support representative system and the requesting system in presence of firewall protection in the requesting system and in the support representative system ([0032]).

With regard to claims 2 and 12, Kannan discloses a support representative locator (matcher [0023]).

Application/Control Number: 09/678,573

Art Unit: 2134

With regard to claims 3 and 13, Kannan discloses evaluating rules to match the user to customer service ([0028]).

With regard to claims 4 and 14, Kannan discloses a support proxy for receiving the response to the request ([0127]).

With regard to claims 5 and 15, Kannan discloses the support representative generates the response ([0022]).

## Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 6-10 and 16-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kannan.

With regard to claims 6, 7, 16, and 17, Kannan discloses the system of claim 5, but does not disclose sending a signed module from the support representative to the requestor. The examiner takes official notice that it is well known for support representatives to send modules to customers. It would have been obvious for one of ordinary skill in the art to send an executable in response to a request in Kannan for the motivation of improving support service.

Art Unit: 2134

With regard to claims 8-10, and 18-20, the examiner takes further official notice that it is well know to sign and validate software sent over the Internet. It would have been obvious for one of ordinary skill in the art to securely sign and validate software sent from the support of Kannan to the requestor to protect the requestor.

## Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jacob Lipman whose telephone number is 571-272-3837. The examiner can normally be reached on M-Fr.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand can be reached on 571-272-3811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

GILBERTO BARRON DE SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2100

Page 5

JL